

IN THE UNITED STATES PATENT AND TRADEMARK OFFICEPrior application: Examiner A. AlankoArt Unit 1765

Commissioner for Patents
P.O. Box 1450
Mail Stop PATENT APPLICATION
Alexandria, VA 22313-1450

Sir:

This is a request for filing a ☒ continuation ☐ divisional application under 37 CFR § 1.53(b), of pending prior application no. 10/401,405 filed on March 27, 2003

of Robert J. Small, Laurence McGhee, David J. Maloney and Maria L. Peterson

(inventor(s) currently of record in prior application)

for CHEMICAL MECHANICAL POLISHING COMPOSITION AND PROCESS

(title of invention)

1. ☒ The filing fee is calculated below:

PATENT APPLICATION FEE VALUE

TYPE	NO. FILED	LESS	EXTRA	EXTRA RATE	FEE
Total Claims	46	- 20	26	\$18.00 each	\$ 468.00
Independent	7	- 3	4	\$86.00 each	\$ 344.00
Minimum Fee					\$ 770.00
Multiple Dependency Fee					\$ 0.00
If Applicable (\$280.00)					\$ 0.00
Total					\$ 1582.00
Applicant qualifies for the 50% Reduction for Independent Inventor, Nonprofit Organization or Small Business Concern					\$ 0.00
Total Filing Fee					\$ 1582.00

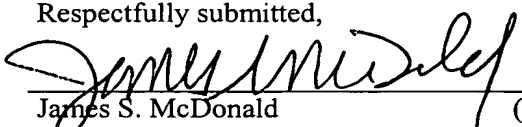
2. ☒ Please charge the required fee to Pennie & Edmonds LLP Deposit Account No. 16-1150. A copy of this sheet is enclosed.
3. ☒ Amend the specification by inserting before the first line the following sentence: This is a continuation of application no. 10/401,405, filed March 27, 2003, which is a continuation of application no. 09/226,996, filed January 7, 1999, which issued as Patent No. 6,635,186 on October 21, 2003, which is a continuation of application no. 09/043,505, filed March 23, 1998, which issued as Patent No. 6,117,783 on September 12, 2000, which claims priority to PCT International Application No. PCT/US97/12220, filed July 21, 1997, which claims the benefit of provisional application no. 60/023,299, filed July 26, 1996.
- 4a. ☐ New formal drawings are enclosed.



- 4b. ☐ Informal drawings are enclosed.
- 5a. ☐ Priority of application no. filed on in is claimed under 35 U.S.C. §119.
- 5b. ☐ The certified copy has been filed in prior application no. , filed .
6. ☒ The prior application is assigned of record to EKC Technology, Inc., which is recorded at Reel 9232 / Frame 0124.
- 7a. ☒ A copy of the Power of Attorney filed in the prior application no. 09/226,096, filed January 7, 1999 is enclosed.
- 7b. ☐ A Power of Attorney is enclosed.
8. ☐ This application contains nucleic acid and/or amino acid sequences required to be disclosed in a Sequence Listing under 37 CFR §§1.821-1.825. It is requested that the Sequence Listing in computer readable form from prior application no., filed on be made a part of the present application as provided for by 37 C.F.R. §1.821(e). The sequences disclosed therein are the same as the sequences disclosed in this application. A copy of the paper Sequence Listing from application no. is enclosed.
9. ☐ The undersigned states, under 37 C.F.R. §1.821(f), that the content of the enclosed paper Sequence Listing from application no. is the same as the content of the computer readable form submitted in application no. .
10. ☐ DO NOT PUBLISH. I hereby certify that the invention disclosed in the attached application has not and will not be the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).
11. ☒ Additional enclosures or instruction:
 -- Please enter the enclosed Preliminary Amendment before calculating the filing fee;
 -- Request for Withdrawal as Attorney or Agent and Transmittal of Revocation and Substitution of Power of Attorney, as filed in prior application Serial No. 09/226,996 are enclosed; and
 -- A copy of the Declaration and parent application as filed are enclosed.

Respectfully submitted,

Date October 10, 2003


 James S. McDonald
 For Victor N. Balancia
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(Reg. No. 44,229)
 (Reg. No. 31,231)